

AGREEMENT

Between

UNIVERSITY HOSPITAL SOUTHAMPTON NHS FOUNDATION TRUST

and

SWAYTHLING PRIMARY SCHOOL

**[DATA SHARING AGREEMENT IN RELATION TO FEASIBILITY STUDY FOR CITY-WIDE TESTING
USING SALIVA BASED LAMP TESTING Feasibility Study Number: Ref 2020/032]**

PARTIES

- (1) **UNIVERSITY HOSPITAL SOUTHAMPTON NHS FOUNDATION TRUST** of Southampton General Hospital, Tremona Road, Southampton SO16 6YD (“**UHS**”)
- (2) **SWAYTHLING PRIMARY SCHOOL** of Mayfield Road, Southampton, Hampshire SO17 3SZ (“the School”)

BACKGROUND

- (A) In respect of Feasibility Study to assess the feasibility of a whole city integrated programme combining testing, tracing and isolation as a means of safely relaxing lockdown and re-opening the economy (“Feasibility Study”) the University of Southampton entered into an agreement with the Secretary of State for Health and Social Care acting on behalf of the Crown through the Department of Health and Social Care (“the Authority”) dated 12 June 2020.
- (B) The Funded Activities under the agreement referred to above included assessing the feasibility and acceptability of conducting weekly saliva testing in various sub-groups of the population in Southampton where there is a higher risk of infection through major mixing events. Activities to be delivered include evaluation of the feasibility and acceptability of testing pupils, teachers and other workers in schools (“Funded Activities”).
- (C) UHS and the School agreed to assist the University of Southampton in the delivery of the feasibility study and to do this the parties wish to share Personal Data for the Permitted Purpose set out in this Agreement and in accordance with this Agreement.

AGREED TERMS

1 Definitions and interpretation

1.1 In this Agreement:

Complaint

means a complaint or request (other than a Data Subject Request) relating to either party’s obligations under Data Protection Laws relevant to this Agreement and/or the processing of any of the Shared Personal Data, including any compensation claim from a Data Subject or any notice, investigation or other action from a Data Protection Supervisory Authority relating to the foregoing (and **Complainant** means the Data Protection Supervisory Authority, Data Subject or other person initiating or conducting a Complaint);

Controller

has the meaning given in applicable Data Protection Laws;

Data Protection Laws

means, as applicable to either party and/or to:

- (a) the GDPR;
- (b) the Data Protection Act 2018;
- (c) the Directive 2002/58/EC (ePrivacy Directive) and/or the Privacy and Electronic Communications (EC Directive) Regulations 2003;
- (d) any other applicable law relating to the processing, privacy and/or use of Personal Data, as applicable to either party;
- (e) any laws which implement any such laws; and
- (f) any laws that replace, extend, re-enact, consolidate or amend any of the foregoing;

Data Protection Supervisory Authority

means any regulator, authority or body responsible for administering Data Protection Laws;

Data Subject

has the meaning given in applicable Data Protection Laws from time to time;

Data Subject Request

means a request made by a Data Subject to exercise any right(s) of Data Subjects under Chapter III of the GDPR or under any similar Data Protection Laws in relation to any of the Shared Personal Data or concerning the processing of such data;

GDPR

means the General Data Protection Regulation, Regulation (EU) 2016/679;

Permitted Lawful Basis

means
for UHS

- GDPR Article 6(1)(e) – the processing is necessary for the performance of its official tasks carried out in the public interest in providing and managing a health service
- GDPR Article 9(2)(i) – the processing is necessary for reasons of public interest in the area of public health
- Data Protection Act 2018 – Schedule 1, Part 1, (2) (2) (f) – health or social care purposes

For the School

- GDPR Article 6(1)(e) – the processing is necessary for the performance of a task carried out in the public interest.

Permitted Purpose

means the Funded Activities in relation to the Feasibility Study, particularly to develop systems for conducting weekly saliva testing in various sub-groups of the population in Southampton where there is a higher risk of infection through major mixing events, and assess the feasibility and acceptability of testing such as schools in areas with a high prevalence of vulnerable groups from BAME communities, deprivation – pupils, teachers, other workers and the household members of each group, participation in which safeguards and promotes the welfare of children who are pupils at the School;

Permitted Recipients

means UHS’s employees and contractors including holders of Honorary Contracts with UHS who need access to the Shared Personal Data for the Permitted Purpose;

Personal Data

has the meaning given in applicable Data Protection Laws from time to time;

Personal Data Breach

has the meaning given in the GDPR;

processing

has the meaning given in applicable Data Protection Laws from time to time (and related expressions, including **process**, **processed** and **processes** shall be construed accordingly); and

Shared Personal Data

means Personal Data received by the Receiving Party from or on behalf of the Disclosing Party, or otherwise made available by the Disclosing Party for the Permitted Purpose.

2 Status of this Agreement and the parties

Each party (to the extent that it processes the Shared Personal Data pursuant to or in connection with this Agreement) shall be an independent Controller of the Shared Personal Data in its own right. Nothing in this Agreement (or the arrangements contemplated by it) is intended to construe either party as the processor of the other party or as joint controllers with one another. If the parties share the Shared Personal Data, it shall be shared and managed in accordance with the terms of this Agreement.

3 Compliance with Data Protection Laws

The Receiving Party shall at all times comply with all Data Protection Laws in connection with the exercise and performance of its respective rights and obligations under this Agreement and the processing of the Shared Personal Data. This Agreement allocates certain rights and responsibilities among the parties as enforceable contractual obligations between themselves, however nothing in this Agreement is intended to limit or exclude either party's responsibilities or liabilities under Data Protection Laws (including under Article 82 of the GDPR or under any similar Data Protection Laws and the duties owed by each party to Data Subjects under any Data Protection Laws).

4 Obligations on the Disclosing Party

The Disclosing Party shall ensure prior to sharing the Shared Personal Data with the Receiving Party that all appropriate privacy notices have been made available to each relevant Data Subject as necessary to permit the sharing of the Shared Personal Data with the Receiving Party for the Permitted Purpose on the Permitted Lawful Basis as envisaged under this Agreement in accordance with Data Protection Laws. During the term of this Agreement, the Disclosing Party shall promptly notify the Receiving Party if it becomes aware that a relevant Data Subject has requested that their Shared Personal Data is no longer processed by either party for the Permitted Purpose.

5 Obligations on Receiving Party

5.1 The Receiving Party shall ensure that at all times:

- 5.1.1 it shall undertake all processing of the Shared Personal Data only for the Permitted Purpose in accordance with this Agreement and in all respects in accordance with Data Protection Laws;
- 5.1.2 it shall undertake processing of the Shared Personal Data only to the extent consistent with the Permitted Lawful Basis;
- 5.1.3 it shall promptly (and in any event within 10 Business Days) on request provide the Disclosing Party with: (a) all copies of all notices, records and information necessary to demonstrate its compliance with this Agreement; and (b) all records referred to in paragraph 10.

6 Technical and organisational measures

6.1 The Receiving Party shall at all times:

- 6.1.1 put in place and maintain appropriate technical and organisational measures so as to ensure the protection of the rights of Data Subjects under Data Protection Laws and as otherwise required to meet the requirements of both parties under all Data Protection Laws; and
- 6.1.2 implement and maintain appropriate technical and organisational measures (which shall, at a minimum, comply with the requirements of Data Protection Laws, including Article 32 of the GDPR) and process the Shared Personal Data in a manner that ensures appropriate security of the Shared Personal Data, including protection

against unauthorised or unlawful processing and against accidental loss, unauthorised or unlawful destruction, loss, alteration, disclosure or access.

- 6.2 The Receiving Party shall at all times ensure the processing of the Shared Personal Data shall be limited to the authorised personnel of the Receiving Party or of a Permitted Recipient that:
- 6.2.1 need to process it for the Permitted Purpose in accordance with this Agreement;
 - 6.2.2 are reliable and adequately trained on compliance with all Data Protection Laws and this Agreement; and
 - 6.2.3 are subject to (and comply with) a binding written contractual obligation to keep the Shared Personal Data confidential.
- 6.3 The Disclosing Party shall ensure that it adopts the following security measures when transferring Shared Personal Data to the Receiving Party: provide the Shared Personal Data by encrypted Excel spreadsheet by zip file which is password protected with password being supplied separately to UHS to a nominated person.

7 Disclosures to Permitted Recipients

- 7.1 The Receiving Party shall be liable to the Disclosing Party for all acts and omissions of each of the Permitted Recipients as if they were the acts and omissions of the Receiving Party. Each obligation in this Agreement on the Receiving Party to do, or refrain from doing anything, shall include an obligation on the Receiving Party to ensure all Permitted Recipients do, or refrain from doing, such thing.
- 7.2 The Receiving Party shall not engage nor permit any staff or third parties other than the Permitted Recipients to carry out any processing of any Shared Personal Data. The Receiving Party shall ensure at all times:
- 7.2.1 that all processing by Permitted Recipients is conducted in a manner consistent with the Permitted Lawful Basis, the Permitted Purpose, the Receiving Party's obligations under this Agreement and the restrictions on processing imposed on the Receiving Party under this Agreement; and
 - 7.2.2 without prejudice to the above, that each of the Permitted Recipients (other than the employees of a Permitted Recipient or the Receiving Party) carrying out any processing of the Shared Personal Data is subject to a binding written agreement regulating its processing of the Shared Personal Data which complies in all respects with the requirements of Data Protection Laws.

8 International transfers

The Receiving Party shall not transfer the Shared Personal Data to any country outside the United Kingdom or to any international organisation (as defined in the GDPR) without the Disclosing Party's prior written consent.

9 Data Subject Requests, Personal Data Breaches and Complaints

- 9.1 The Receiving Party shall promptly (and in any event within 24 hours) notify the Disclosing Party if the Receiving Party suspects or becomes aware of any actual or threatened occurrence of any Personal Data Breach in respect of any Shared Personal Data. The Receiving Party shall promptly (and in any event within 24 hours) provide all such assistance and information as the Disclosing Party requires to investigate and if appropriate, report any actual or suspected Personal Data Breach to a Data Protection Supervisory Authority and to notify affected Data Subjects under Data Protection Laws.
- 9.2 The Receiving Party shall promptly (and, in any event, within 5 Business Days of receipt) inform the Disclosing Party if it receives any Complaint or Data Subject Request in relation to the Shared Personal Data. When receiving and responding to a Data Subject Request or a Complaint, the Receiving Party shall consult in advance with the Disclosing Party and promptly comply with the Disclosing Party's reasonable instructions (if any).
- 9.3 Subject to the remainder of this Agreement, as between the parties, responsibility for compliance with and responding to:
- 9.3.1 any Data Subject Request relating to any Shared Personal Data falls on the party which received such Data Subject Request;
 - 9.3.2 any Complaint relating to the Shared Personal Data falls on the party which receives the Complaint from a Complainant;
 - 9.3.3 each party's respective obligations in respect of any Personal Data Breach (including notification of the Data Protection Supervisory Authority and/or Data Subject(s)) impacting or relating to any Shared Personal Data in the possession or control of the Receiving Party (or any third party with whom it has shared such data) fall on the Receiving Party; and
 - 9.3.4 each party's respective obligations in respect of any other obligation under Data Protection Laws (including any obligation to notify the Data Protection Supervisory Authority and/or Data Subject(s) of any other Personal Data Breach) fall on each party subject to such obligation(s).
- 9.4 Each party shall promptly co-operate with and provide reasonable assistance, information and records to the other to assist each party with their respective compliance with Data Protection Laws and in relation to all Complaints and Data Subject Requests.
- 9.5 The Disclosing Party's obligations under paragraphs 9.3 and 9.4 shall be performed at the Receiving Party's expense, except to the extent that the circumstances giving rise to such obligation arose out of any breach by the Disclosing Party of its obligations under this Agreement.

10 Records

The Receiving Party shall maintain complete, accurate and up to date written records of all of its processing of the Shared Personal Data and as necessary to demonstrate its compliance with this Agreement.

11 Retention

11.1 Except as required by applicable law in the United Kingdom, the Receiving Party shall:

11.1.1 process each part of the Shared Personal Data for no longer than such processing is necessary for the Permitted Purpose (as set out in Appendix 1) and in any event cease to process each part of the Shared Personal Data on the earlier of termination or expiry of this Agreement or in the event that a data subject objects to the use of their data, unless there is a strong reason to continue processing the data that overrides the data subject's objection or if the data is being used for a legal claim.; and

11.1.2 immediately, confidentially, irrecoverably and securely destroy or dispose of all Shared Personal Data (and all copies) in its possession or control that can no longer be processed in accordance with paragraph 11.1.1.

12 Miscellaneous

12.1 The provisions of this Agreement shall survive termination or expiry of this Agreement and continue indefinitely.

12.2 Any partial or total invalidity of one or more terms of this Agreement shall not affect the validity of other terms thereof.

12.3 The non-exercise or delay of the exercise of any legal or contractual right of the parties is not cannot be interpreted as a waiver of their right.

Appendix 1	The Shared Personal Data
Categories of data to be shared	Staff Member - first and last name, address, including postcode, date of birth, gender, mobile phone number, school, e-mail Pupil - first and last name, address, including postcode, date of birth, gender, mobile phone number, school, class and for secondary school tutor and year group Parent or Guardian of Pupil - first and last name, address, including postcode, mobile phone number, e-mail.
Categories of Data Subject	Participants drawn from a. School staff, b. School pupils.
Who in Schools shares the data?	Sarah Anderson, Admin Officer

Appendix 1	The Shared Personal Data
Date planned for sharing	Between week commencing 7 September 2020 and 31 October 2020
How will it be shared?	By encrypted Excel spreadsheet by zip file which is password protected with password being supplied separately to UHS to a nominated person.
Who in UHS receives the data?	Overall supervision of Systems Team is responsibility of Professor James Batchelor, Systems Lead Programme. SouthamptonTesting@uhs.nhs.uk
What happens with the data when it is received?	Used for the Permitted Purpose. For the avoidance of doubt the Shared Personal Data will not be used for communications from the Receiving Party to those data subjects who have not consented to participation in the Programme by submitting samples for testing without the agreement of the head teacher of the School.
What retention period shall be applied to that data?	The information processed by the NHS is kept for as long as it is required to provide the participant with direct care and to support NHS initiatives to fight COVID-19. Information held for direct care purposes are stored in line with the Records Management Code of Practice for Health and Social Care 2016 . This means such information will be held for up to 8 years before it is deleted. Any personal data gathered as part of this Feasibility Study for other purposes will be deleted at the end of the Feasibility Study. For the avoidance of doubt, the encrypted Excel spreadsheet providing the data will be destroyed at the end of the schools testing programme and the Shared Personal Data of any person who does not or whose child does not participate in the provision of saliva samples will be deleted from the UHS database at the end of the Feasibility Study in both cases on or before 31 October 2020.

SIGNED by:

Gail Byrne
Gail Byrne (Sep 16, 2020 14:43 GMT+1)

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Signature

**Gail Byrne
for and on behalf of the University
Hospital Southampton NHS
Foundation Trust**

Chief Nursing Office and Caldicott Guardian

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Title

16-Sep-2020

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Date

AR
AR (Sep 15, 2020 14:08 GMT+1)

SIGNED by

John Draper
John Draper (Sep 26, 2020 13:48 GMT+1)

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Signature

**Mr John Draper
for and on behalf of SWAYTHLING
PRIMARY SCHOOL**

Head Teacher

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Title

16-Sep-2020

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Date